

Intellectual Property Management Report

This report has been submitted to the 15th meeting of the 16th Board of Directors on 2025/11/05

1. Passed Taiwan Intellectual Property Management System (TIPS) Level A Re-verification

- **Passed the TIPS re-verification review meeting on 2025/09/18.**

After implementing the Taiwan Intellectual Property Management System (TIPS) and successfully completing the initial verification in 2024, our company has continued to monitor IP management performance and improve management procedures. This year, we maintained the target on "trademarks" for the re-verification application and successfully passed the review meeting on 2025/09/18, thereby ensuring the appropriateness and effectiveness of our company's IP management system.



Figure 1. T.C. Li CEO of CTCI Group Shared Services accepted the certificate from Deputy Director General Yu-hsin Chou of Industrial Development Administration of the MOEA on 2025/02/20.

2. Intellectual Property Management Policy and Objectives

- **Review and announce the “Intellectual Property Management Policy”**

In 2023, the company established the “Intellectual Property Management Policy” in accordance with the requirements of the “Corporate Governance Best Practice Principles for TWSE/TPEX Listed Companies.” This year, in response to the implementation of TIPS, the

company reviewed the “Intellectual Property Management Policy” from the perspectives of risks and opportunities, internal and external issues, and stakeholders. The review concluded that the five intellectual property management policies set by the company still meet the current operational needs and do not require adjustments at this time. Therefore, the policies were re-announced to employees on 2025/04/08, and the operational requirements corresponding to each policy continue to be implemented.



Management Policy:

- **Implement Intellectual Property Management**
- Management Mechanism, Information Security, Supervision, and Auditing
- **Fulfill Contractual Confidentiality Requirements**
- Identify Needs, Develop Plans, Monitor and Control
- **Strengthen Awareness of Intellectual Property Protection**
- Educational Training, Comprehensive Evaluation
- **Regularly Review, Evaluate and Maintain**
- System Management, Regular Review, Rights Protection Assessment
- **Continually Improve on Management and Control Mechanisms**
- Monitor Trends, Align with Strategy, Keep Up with the Times

Figure 2. IP Management Policy and Operational Requirements

Additionally, the company has placed the “Intellectual Property Management Policy” and the “Taiwan Intellectual Property Management System Certificate” on the group's official website to facilitate access for relevant stakeholders when needed.

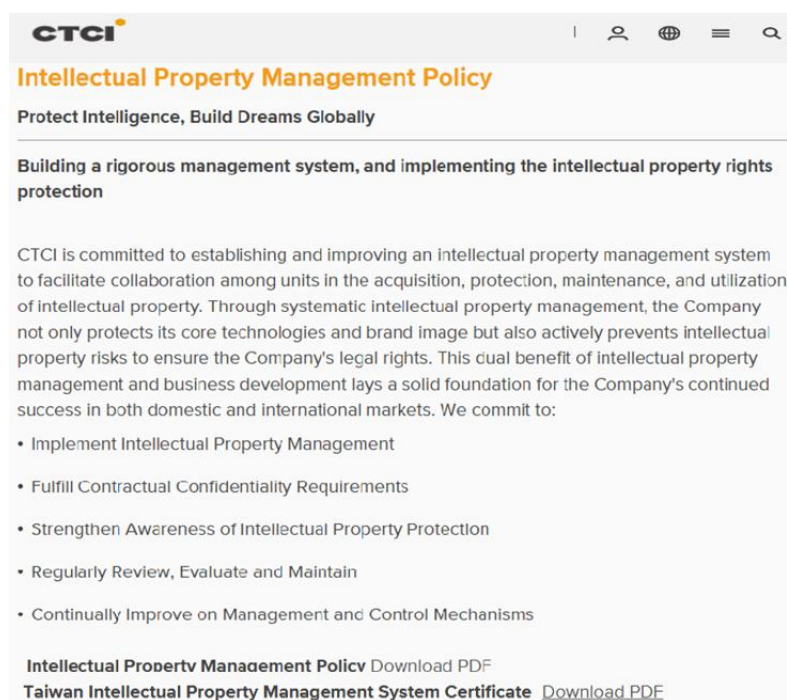


Figure 3. The “Intellectual Property Management Policy” and the “Taiwan Intellectual Property Management System Certificate” on the Group's Official Website

- **Establish and announce the “2025 Intellectual Property Management Objectives”**

After the “Intellectual Property Management Policy” was reviewed, the company established this year's "Intellectual Property Management Objectives" based on each management policy, clearly defining the evaluation methods and responsible units for each objective. These objectives were announced to employees concurrently with the "Intellectual Property Management Policy." As of 2025/11/05, the implementation status of the “Intellectual Property Management Objectives” is shown in Table 1.

| Policy | Objective | Evaluation Method | Management/ Execution Unit | Execution Status |
|--------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Implement Intellectual Property Management | Optimize IP Management Process | Maintain the validity of Taiwan Intellectual Property Management System (TIPS) Level A verification, using "Trademark" as the subject of re-verification, and pass the TIPS Level A re-verification. | QMD / IP Management Team | Completed. (Passed the meeting review on 2025/09/18) |
| Continuously Improve Control Mechanism | Ensure compliance with trademark laws and regulations, and improve IP management system | Review the intellectual property management system and the applicability of trademark laws and regulations at least once a year. | QMD | Completed. (The revision 0A of Intellectual Property Management Manual was issued on 2025/07/29.) |
| Regular Review, Evaluation, and Maintenance | Implement regular inventory, continuous monitoring, and optimization of IP asset status to avoid infringement risks | Review the trademark inventory and the actual use status of trademarks at least once a year. | Legal Dept. / IP Management Team | Completed. (The Legal Department completed the trademark registry review on 2025/07/24; the Brand Management Department completed the usage-status review on 2025/06/30.) |
| Fulfill Contractual Confidentiality Requirements | Strengthen Confidential Document Control Mechanism | The total number of violations for Mail Audit, DLP (Data Loss Prevention) and DSM (Document Security Management) shall not exceed 10 incidents. | QMD / IP Management Team | Ongoing. (0 total violations within the scope of inspection) |
| Strengthen IP Protection Awareness | Conduct intellectual property-related education training and legal compliance promotion | Conduct IP training, lectures, or full staff assessments and legal compliance promotions at least twice during the current year. | QMD / IP Management Team | Completed. (Two IP training sessions were conducted and one company-wide assessment was conducted.) |

Table 1. Implementation Status of the “2025 Intellectual Property Management Objectives” (as of 2025/11/05)

3. Intellectual Property Execution Results

The intellectual property rights obtained by the company to date are shown in Table 2.

| | | | Total | 2025 | 2024 | 2023 |
|------------|---------------------------|----------|----------------------------|---------------------------|------|------|
| Trademarks | Taiwan (All) | Obtained | 56 | 0 | 0 | 0 |
| | | Applying | 18 | 18 Note 1 | 0 | 0 |
| | USA | Obtained | 6 | 0 | 0 | 0 |
| | | Applying | 0 | 0 | 0 | 0 |
| | China | Obtained | 19 | 0 | 0 | 0 |
| | | Applying | 0 | 0 | 0 | 0 |
| | Others (Note) | Obtained | 216 Note 2 | 0 | 0 | 10 |
| | | Applying | 0 | 0 | 0 | 0 |
| Patents | Taiwan (Invention Patent) | | Total | Total | 2025 | 2024 |
| | | Obtained | 6 | 1 Note 3 | 4 | 0 |
| | | Applying | 0 | 0 | 0 | 0 |

Note 1: Considering the usage patterns and protection of the CTCI brand trademarks, 18 domestic black-ink trademark applications were filed this year.

Note 2: Includes the following countries: South Korea, Japan, Singapore, Malaysia, Indonesia, Vietnam, the Philippines, Saudi Arabia, Qatar, Oman, Brunei, the United Arab Emirates, Kuwait, Thailand, Macau, Hong Kong, India, European Union, the United Kingdom, and Canada.

Note 3: Urea treatment equipment.

Table 2. Intellectual Property Rights Obtained by the Company

4. Continuous Improvement of IP Management

- **Continued Enhancement of the Intellectual Property Management System**

The company's IP management system is centered on the “Intellectual Property Management Manual,” which links related operational procedures and serves as guidance for staff in performing their duties. This year, in response to internal audits, external verification, and the

company's practical operational needs, we have continued to improve the relevant systems.

| No. | Document Title | Document Number | Version | Date of Issue | Remarks |
|-----|-----------------------------------------------------------------|-----------------|---------|---------------|---------|
| 1 | Group Intellectual Property Management Regulations | GCP-105-B | 1 | 2025/08/04 | |
| 2 | Intellectual Property Management Manual | CP-012-B | 0A | 2025/07/29 | |
| 3 | Confidentiality Agreement and Commitment Management Regulations | CP-102-B | 3 | 2025/03/21 | |
| 4 | Confidential Document Management Regulations | CP-104-B | 1C | 2025/08/26 | |
| 5 | Employees Resignation Regulations | CP-313-B | 1C | 2025/07/17 | |
| 6 | Headquarters Building Management Regulations | CP-701-B | 0B | 2025/01/06 | |
| 7 | Information Security Management Regulations | CI-101-B | 3 | 2025/06/09 | |

Table3. Intellectual Property-Related SOPs Revised and Issued in 2025

- **Tracking of abnormal SOP clicking**

The SOP “no download” policy, allowing SOPs to be read online only with editable copies issued only upon approved request, has been implemented stably. To further reduce the risk of confidential information leakage, we continue to work with IT to set alert thresholds for employees’ daily SOP access counts. If the threshold is exceeded, Heads of QMD and IT Department are notified, and QMD conducts an investigation to confirm if the views are indeed business-related. So far this year, there have been 28 alerts; after investigation, no intellectual property leakage risks have been identified.

| Group | GEB | | | | | | | | | GISB | | | GRCB | | | |
|----------------------|------------|----------|--------------|---------------|---------------|--------------|-------|----------|---------------|----------|----------|----------|-------|-----------|-----------|-----------|
| Company | CTCI Corp. | CTCI MAC | CTCI Beijing | CTCI Shanghai | CTCI Thailand | CTCI Vietnam | CINDA | CTCI CHC | CTCI Americas | CTCI SEC | CTCI REI | CTCI ASI | ECOVE | ECOVE ESC | ECOVE WMC | ECOVE SRC |
| Number of warnings | 24 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Number of violations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table 4. Statistics Table for SOP Abnormal Click (Data as of 2025/10/31)

5. Promote the concept and awareness of “Intellectual Property Management”

- **Conduct online training course on “Intellectual Property Management” to provide basic knowledge and promote the system.**

The company ensures that basic awareness of intellectual property management is clearly and consistently conveyed to all employees through annual training. This year the company produced a basic awareness online course for all employees and included it as a mandatory course for new hires so that newcomers receive essential IP management information and understand relevant operational requirements as soon as they join the company.



Figure 4. Online Training Course on “Intellectual Property Protection Awareness Evaluation of YR2025”

- **Conduct in-person training courses for Intellectual Property Management Responsible Personnel and internal auditors.**

The company provided specialized training for members of the intellectual property management task force and for internal IP auditors to enhance employees' IP competencies and facilitate smooth implementation of related work.



TIPS Internal Auditor Training on 2025/08/01 Morning Session



TIPS IP Management Responsible Personnel Training on 2025/08/01 Afternoon Session

Figure 5. Conduct in-person training courses for “Intellectual Property Management” responsible personnel and internal auditor